

Electronic Acknowledgement Receipt

EFS ID:	2556306
Application Number:	10627921
International Application Number:	
Confirmation Number:	3612
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
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Filer Authorized By:	B. Delano Jordan
Attorney Docket Number:	10392/460044
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$525

RAM confirmation Number	2190				
Deposit Account	110600				
Authorized User					
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)					
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		AMENDMENT.pdf	116759 b67cfe3f3362ba907e05165ec404399f6bcb0757a1e3	yes	9
	Multipart Description/PDF files in .zip description				
	Document Description	Start	End		
	Amendment - After Non-Final Rejection	1	1		
	Specification	2	2		
	Claims	3	4		
	Applicant Arguments/Remarks Made in an Amendment	5	7		
	Miscellaneous Incoming Letter	8	8		
	Abstract	9	9		
Warnings:					
Information:					
2	Fee Worksheet (PTO-06)	fee-info.pdf	8255 0add54eacc12ba650bac32a294e7a572b52b76c36	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			125014		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.